

CODICI, COMPLESSITA' DI CALCOLO E LINGUAGGI FORMALI

*Atti dell'incontro informale organizzato dai gruppi di ricerca
"Informatica Teorica" e "Sistemi Complessi"*

Alberto Pettorossi

Un teorema per la sottobase $\{B\}$

dal lavoro: Batini-Pettorossi "Some properties of subbases
in weak combinatory logic". Convegno sulla
Complessità Computazionale Arco Felice (Na)
13-14 marzo 1975

For the base $\{B\}$ we have the following result:

THEOREM 4.1. - For any X in $L(\{B\})$ we may construct w_0 in $\{B\}^+$ corresponding to X , such that: $\forall w$ in corresponding to $X, SL(w_0) \leq SL(w)$.

PROOF. We shall prove that the combinator w_0 corresponding to X , is obtained by the elimination of the rightmost parenthesis that can be eliminated at every expansion step by using one B . Let us consider the class S of all the strategies that reach $w x_2 \dots x_n$ from X .

We may notice first that in an expansion step of a given strategy $s \in S$ the elimination of a parenthesis introduces as "side-effect" some other parentheses. For instance, in the elimination of the parenthesis (α) of ξ , we introduce the parentheses (β) , (γ) and (δ) :

$$\xi = BB \underset{(\alpha)}{x_1 (x_2 x_3) (x_4 x_5)} \leq B \underset{(\delta)}{(\underset{(\gamma)}{(BB) x_1} (x_2 x_3))} \underset{(\beta)}{x_4 x_5}$$

In the future we shall call "extra parentheses" the introduced parentheses surrounding at least one variable (e.g.: (δ) and (γ) are "extra parentheses").

We notice also that, in order to obtain w from a given X , we must remove from X all its parentheses and all extra parentheses introduced at each expansion step. Therefore the strategy by which we may obtain w_0 is the one for which the minimum number of extra parentheses is introduced.

Let us now state the following assertion A:

$\forall s \in S$ the strategy $s_0 \in S$ that removes the rightmost parenthesis in the achieved formula at each expansion step reaches $w x_1 \dots x_n$ from X in a not greater number of steps than s .

We shall prove the truth of the assertion A in two steps:

(i) first we prove by structural induction that the assertion A holds for every combination \tilde{X} in $L(\{B\})$ of the form

$$x_1 \chi_1 \chi_2 \dots \chi_m$$

where every χ_i is a combination of variables;

(ii) then we prove the assertion for every combination X in $L(\{B\})$.

(i). Let us consider first the combination $\hat{X} = x_1 (x_2 x_3) (x_4 x_5) \dots (x_{2n} x_{2n+1})$

Given a strategy s , to obtain a w corresponding to X , we may associate to s a n -tuple $\underline{s} = \langle j_1, j_2, \dots, j_n \rangle$ where $j_i, 1 \leq j_i \leq n$, is the i -th parenthesis of the set of the initial ones, removed in the strategy s .

If we point out the decreasing subsequences of \underline{s} , we may write:

$$\underline{s} = j_1^{(1)}, j_2^{(1)}, \dots, j_{l_1}^{(1)}, j_1^{(2)}, j_2^{(2)}, \dots, j_{l_2}^{(2)}, \dots; j_1^{(k)}, j_2^{(k)}, \dots, j_{l_k}^{(k)}$$

$$\text{where: a) } \forall i, 1 \leq i \leq k \quad 1 \leq l_i \leq n$$

$$\text{b) } \forall i, 1 \leq i \leq k-1 \quad j_{l_i}^{(i)} < j_1^{(i+1)}$$

$$\text{c) } \forall i, 1 \leq i \leq k \quad j_n^{(i)} > j_m^{(i)} \quad \text{where } 1 \leq n < m \leq l_i.$$

The extra parentheses introduced in the elimination of the parentheses $j_1^{(1)}, j_1^{(2)}, \dots, j_1^{(k)}$ are at least respectively $j_1^{(1)} - 1, j_1^{(2)} - j_{l_1}^{(1)} + 2, \dots, j_1^{(k)} - j_{l_{k-1}}^{(k-1)} + 2$.

Moreover in the elimination of the parentheses of each subsequence $\underline{s}^{(p)} = \langle j_2^{(p)}, \dots, j_{l_p}^{(p)} \rangle, 1 \leq p \leq k$, of \underline{s} we have to introduce at least $l_p - 1$ new extra parentheses.

Therefore the optimal strategy s_0 for \hat{X} is the one for which the following expression e is minimized:

$$e = j_1^{(1)} - 1 + \sum_{i=1}^k (j_1^{(i)} - j_{l_{i-1}}^{(i-1)} + 2) + \sum_{i=1}^k (l_i - 1)$$

It is easy to see that the n -tuple $\underline{s}_0 = \langle n, n-1, \dots, 1 \rangle$ minimizes e and the strategy s_0 , that generates \underline{s}_0 by construction, satisfies the assertion A.

We give now the structural induction argument:

whatever is \tilde{X} one may obtain \tilde{X} from \hat{X} by iterative use of the following structural transformation: one variable x_i , where $x_i \neq x_1$, is substituted by the application $(x_j x_{j+1})$ and redénomination of variables is made in order to obtain an element of $L(\{B\})$.

The use of the above transformation preserves the truth of the assertion A.

In fact we have two cases:

- 1) if the replaced x_i is a left-applied object, then in the elimination of the parenthesis surrounding x_j and x_{j+1} , we introduce in the strategy s_0 one more extra parenthesis and this is obviously the minimum number possible;
- 2) if the replaced x_i is a right-applied object, then in the elimination of the parenthesis surrounding x_j and x_{j+1} , we introduce in the strategy s_0 two more extra parentheses (that we call (1) and (2)):

$$\xi_{(\alpha)}(x_{i-1}(x_j x_{j+1})) \dots \leq B \xi_{(\beta)} x_{i-1}(x_j x_{j+1}) \dots \leq B((B\xi_{(1)(2)})x_{i-1})x_j x_{j+1} \dots$$

Nevertheless, one parenthesis must be introduced by every strategy.

For the second one a generic strategy in which it is not necessary to introduce at this step a second parenthesis is the following: after the elimination of (α) and before the elimination of (β) we remove at least one parenthesis, say (γ) , on the righthand of (α) . But in this case when we remove the parenthesis (γ) , we have to introduce one more extra parenthesis than in the case of strategy s_0 , because the parenthesis (α) does not exist any more.

(ii). To complete the proof of the theorem we have to show that if the assertion A is true for every combination \tilde{X} it is also true for every $X \in L(\{B\})$, that is for every

$$X = x_1 x_{i_1} \dots x_{j_1} \chi_1 x_{i_2} \dots x_{j_2} \chi_2 x_{i_3} \dots x_{j_3} \chi_3 \dots \chi_{m-1} x_{i_m} \dots x_{j_m} \chi_m,$$

where χ_i 's are combinations of variables.

In the expansion procedure from X to $wx_1 \dots x_n$ we reach the following intermediate formulas:

(0) X

(1) $\xi_{j_1} x_{i_1} \dots x_{j_n}$

(2) $\xi_{j_2} x_{i_{m-1}} \dots x_n$

$$\begin{array}{c}
 \vdots \\
 (m-1) \quad \xi_{m-1} x_{i_2} \dots x_n \\
 (m) \quad w x_1 \dots x_n
 \end{array}$$

For the strategy s_0 the only effect of the variables between χ_{m-k-1} and χ_{m-k} (where $0 \leq k \leq m-1$ and $\chi_0 = x_1$) is to increase by $j_{m-k} - i_{m-k} + 1$ steps the length of the strategy to reach the $(k+1)$ -th formula from the k -th one.

It is easy to see that every strategy s must reach the formulas (1), (2), ..., (m) and it must necessarily increase its length at least as the strategy s_0 .

Q.E.D.

As a consequence of theorem 4.1, we may notice that, if $w x \dots x_n \geq X$ and $w' x_1 \dots x_n \geq X'$, where $X, X' \in L(\{B\})$ and $w, w' \in \{B\}^+$:

- (i) if X' has a lower number of parentheses to be eliminated^(*) than X , then $SL(w') < SL(w)$;
- (ii) if X' is obtained from X by moving on the left one couple of parentheses of X to be eliminated, then $SL(w') < SL(w)$.

We can also establish the following:

Theorem 4.2. - For any X in $L(\{B\})$ such that $SL(X) = n^{(**)}$ we have that if $w \in \{B\}^+$ corresponds to X , then $SL(w) = O(n)$.

Proof. The structure of X such that $X \in L(\{B\})$ and $SL(X) = n$, in which there is the minimum number (1) of parentheses to be eliminated, is of the form:

$$\bar{X}_n = x_1 \cdot \dots \cdot x_{n-2} (x_{n-1} x_n)$$

(*) We suppose all parentheses to be eliminated are explicited.

(**) The definitions of structural complexity obviously can be extended to pure combinations.

On the other hand, the structure of X such that $X \in L(\{B\})$ and $SL(X)=n$, in which there is the maximum number of parentheses to be eliminated and these are in the rightmost position, is of the form:

$$\overline{\overline{X}}_n = x_1(x_2(\dots(x_{n-1}x_n)\dots))$$

It can be easily verified that: if $\overline{w}_n x_1 \dots x_n \geq \overline{\overline{X}}_n$, then $\overline{w}_{n+1} = B\overline{w}_n$;

if $\overline{\overline{w}}_n x_1 \dots x_n \geq \overline{\overline{X}}_n$, then $\overline{\overline{w}}_{n+1} = B(B\overline{\overline{w}}_n)B$;

$$\overline{w}_3 = \overline{\overline{w}}_3 = B.$$

Q.E.D.